

# **Implementation Guide**

Consolidated Clinical Documentation Architecture (C-CDA) Documents for Clinical Data Repository (CDR)

Revised: January 2017

Version 1.9



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# 1. DOCUMENT CHANGE HISTORY

	DOCUMENT NAME: OHP-HIE Implementation Guide – C-CDA Documents				
Version	Issue Date	Modified By	Comments/Reason		
1.0	February, 2016	Rhonda May	First draft of Implementation Guide for C-CDA Documents		
1.1	February 17, 2016	Rhonda May	Clarification of data confidentiality requirements, update		
			web link, correct typographical errors, add mapping table,		
			add error handling section, add section for C-CDA correction.		
1.2	February 22, 2016	Rhonda May	Updated Mapping Table		
1.3	March 21, 2016	Rhonda May	Added information about mapping table information		
			available on the OHP HIE website		
1.4	April 2016	Rhonda May	Update name element sequence information		
1.5	May 2016	Rhonda May	Add specific reference to the version of HL7 C-CDA		
			implementation guide being used for C-CDA processing at		
			the HIE.		
			Update name element sequence information with reference		
			to the implementation guide		
1.6	August 2016	Rhonda May	Document enhancements, add/update specificity on data		
			requirements and constraints, add supported XDS.b ITI		
			protocols		
1.7	October 2016	Rhonda May, Kelly	Revised C-CDA requirements and data submission methods.		
		Llewellyn and Sue	Added information on Direct messaging.		
		Merk			
1.8	October 2016	Rhonda May	Edits, clarifications and corrections, Direct error messaging		
1.9	January 2017	Sue Merk	Edits and updates		

# 2. INTRODUCTION

# 2.1. Overview

OneHealthPort offers Clinical Data Repository (CDR) services to organizations that are interested in collecting clinical information for a specific or "sponsored" population of patients. Current organizations sponsoring lives (Sponsors) and the patient lives being sponsored in the CDR are listed below. The CDR aggregates clinical data providing a patient-centric, longitudinal medical record inclusive of clinical records supplied by all contributing providers.

### **Clinical Data Repository Sponsors**

- Washington State Health Care Authority Apple Health Program (Medicaid population)
- Physicians of Southwest Washington Managed Medicare risk contracts

## **2.2.** Scope

This implementation guide, unique to the **OneHealthPort CDR**, provides information for:

- C-CDA validation testing for format conformance with national standards
- Identifying patient lives for C-CDA data submissions
- Patient matching with the CDR
- C-CDA data submission options
- C-CDA confidentiality coding

The implementation guide is intended to <u>augment</u> the HL7 national standard implementation guide specifically for the operationalization of C-CDA document exchange on the OneHealthPort HIE as well as the IHE protocols for document sharing. OneHealthPort is using the **HL7 CDA R2 Implementation Guide:**Consolidated CDA Templates for Clinical Notes (US Realm) Draft Standard for Trial Use Release 2.1 which is available on the <u>HL7 website</u>.

# 2.3. General Assumptions

- Organizations participating in the sponsored CDR initiatives are contracted with the OneHealthPort HIE.
- The OneHealthPort HIE provides the supporting technical infrastructure for C-CDA data submissions to the CDR.
- HIE participating organizations will successfully "pre-validate" C-CDA documents with validation testing tools provided or accepted by OneHealthPort, prior to submission to the HIE. (See section 3 below.)
- Organizations and vendors will develop and manage processes for identifying patients for C-CDA data submissions, error message handling and automation of submissions.
- Confidentiality of clinical information sent in C-CDA documents is the responsibility of the submitting
  organization, using the HL7 Basic Confidentiality Kind value set where N = Normal, R = Restricted, and V =
  Very Restricted.

### 2.4. Terms and Acronyms

Term or Acronym	Description or Additional Detail	
CCD	Continuity of Care Document	
C-CDA	Consolidated Clinical Data Architecture – a framework for electronic exchange of clinical	
	documents	
CDR	Clinical Data Repository – an OHP service providing a hosted database of specifically	
	defined patient lives that are sponsored by organizations such as the State of Washingt	
	Health Care Authority (HCA). Data is segmented by sponsoring organization.	
EHR	Electronic Health Record	
HIE	Health Information Exchange	
HL7 Health Level 7 – a healthcare standards setting organization having a defined set of		
	international standards for transfer of clinical and administrative data between software	
	applications used by various healthcare practitioners.	

IHE	Integrating the Healthcare Enterprise - a non-profit organization based in the US state of Illinois. It sponsors an initiative by the healthcare industry to improve the way computer systems share information.	
ITI-41	The IHE XDS.b standard message wrapper (ITI-41) for providing and registering documents in a repository	
NIST	National Institute of Standards and Technology	
PDQ	Patient Demographic Query	
XDS.h	IHE Cross Enterprise Document Sharing interoperability profile	

OneHealthPort-HIE Implementation Guide – C-CDA Documents for CDR

### 3. C-CDA DOCUMENT VALIDATION

#### 3.1. Overview

**Document Name** 

OneHealthPort HIE participating organizations exchanging C-CDA documents are required to perform validation testing to confirm messages conform to the National Institute of Standards and Technology (NIST) HL7 CDA\_R2 standard. In addition to meeting formatting requirements all C-CDA xml files must be UTF-8 encoded for processing by the CDR.

### 3.2. C-CDA Document Validation Testing Options

OneHealthPort offers two options for validation testing:

- Secure Environment Validation Testing OneHealthPort sponsors an instance of the HL7 CDA\_R2 (with no extensions) testing harness on the OneHealthPort HIE Application portal website that is available to HIE contracted organizations. Testers must obtain a OneHealthPort Single Sign-On account from their organization's SSO Administrator to access the secure testing harness. The validation tool can be accessed at this link: <a href="https://apps.onehealthport.com/OHPHIEApps">https://apps.onehealthport.com/OHPHIEApps</a>. C-CDA files tested on the OneHealthPort validation tool are done so in a secure environment allowing use of actual patient files. Any data content and results from the testing harness are completely deleted after each file is tested.
- National Institute of Standards and Technology (NIST) OneHealthPort is also accepting NIST validation of C-CDAs using the CDA\_R2 with no extensions and with SDTC extensions. Organizations validating using the NIST validation tool should forward a screen shot of their successful validation results to the OneHealthPort HIE. The NIST validation tool can be accessed from this link: <a href="http://cda-validation.nist.gov/cda-validation.html">http://cda-validation.nist.gov/cda-validation.html</a> Note: Because the NIST is a public website, only test data files should be used with this validation testing harness.

Organizations testing C-CDA xml files using the validation testing tool receive an immediate response regarding the validity of the file structure. If the test result is invalid, errors in the construct of the file will be displayed. All errors must be cleared in order to obtain a "valid" test result. *Note:* The testing tool also provides warning messages that may improve the file content but are not critical structure errors.

### 4. PATIENT IDENTIFICATION FOR C-CDA DATA SUBMISSION

## 4.1. Overview

The OneHealthPort CDR accepts clinical data submissions for sponsored patient lives and does not accept C-CDA data submissions for patients not specified by a data Sponsor. Therefore, organizations participating in sponsored CDR initiatives will need to work with their EHR vendor to identify or flag patients for C-CDA data submissions based on identification information provided by the data Sponsor and send data only for those patients.

### 4.2. Sponsored Patient Identification Information

Data Sponsor patient identification information is posted on the OneHealthPort CDR <u>website</u>. Current Sponsor patient identification is set by health plan products. Organizations contracted with and caring for patients covered under the health plan products on the list must submit C-CDAs for these patients after an encounter.

### 5. PATIENT MATCHING AT THE CDR

#### 5.1. Overview

C-CDAs submitted to the CDR are matched on a combination of the

- CDR global patient identifier and the CDR object identifier (OID)
- Sponsor's patient identifier and the sponsor OID

or with patient demographic information submitted in the C-CDA by virtue of an internal PDQ process triggered at the CDR. If a C-CDA is not an exact match with a known patient in the CDR, or if there is a match with more than one patient, the message is rejected and returned with a no patient match error.

### 5.2. Patient Matching Process

The patient matching processes supported by the CDR have varying degrees of "matching success".

### 5.2.1. CDR Patient Global Identifier

Use of the patient CDR global identifier and OID is the best method to ensure that C-CDAs submitted to the CDR match against a known patient. The patient global identifier is assigned by the master person index in the CDR after receiving patient eligibility from the Sponsor. To use this identifier:

- Organization EHR systems would first need to query the CDR using a patient demographic query (PDQ).
- The global patient identifier and the CDR object identifier (OID) are returned in the response.
- These identifiers can then be included in the C-CDA patient role section of the message header prior to submission to the CDR. Details for placement of the global patient identifier and CDR OID are presented below.

# **Querying for Identifiers**

CDR Sponsor and sponsor's patient identifier is unknown:

<patientRole>
<id extension="12345678"

root="1.3.6.1.4.1.38630.3"/>
<id extension="135792468"

root="1.3.6.1.4.1.38630.2.1.1.10"/>

If the provider organization doesn't have the sponsor's patient identifier, then a PDQ can be used to obtain the patient global identifier from the CDR.

Patient identifier provided by the PDQ is the patient's *global patient identifier from the CDR* .

The OID provided by the PDQ is the OID of the CDR.

These identifiers must be inserted *before* the organization's patient role information in the C-CDA.

root="1.3.6.1.4.1.38630.2.1.1.10"/>

The second id extension attribute must be the source (sending) organization's unique patient identifier (typically a medical record number MRN), and the id root attribute must be the source organization's OneHealthPort HIE assigned OID or the organization's OID reported to OneHealthPort for use with the C-CDA submissions.

### 5.2.2. CDR Sponsor Patient Identifier

Use of the Sponsor patient identifier is the next best method to ensure that C-CDAs submitted to the CDR match against a known patient. The Sponsor patient identifier is assigned by the Sponsor and reported in an eligibility feed sent to the CDR. To use this identifier:

- The Sponsor patient identifier and the CDR Sponsor object identifier (OID) must be known by the submitting organization.
- These identifiers can then be included in the C-CDA patient role section of the message header prior to submission to the CDR. Details for placement of the Sponsor patient identifier and Sponsor OID are presented below.

# **Using Known Identifiers**

CDR Sponsor and sponsor's patient identifier is known:

<patientRole>
<id extension="123456789WA"
root="1.3.6.1.4.1.38630.3.1"/>
<id extension="135792468"
root="1.3.6.1.4.1.38630.2.1.1.10"/><</pre>

If the provider organization knows the Sponsor's patient identifier, then this identifier and the Sponsor CDR object identifier (OID) can be used in the C-CDA.

**Sponsor patient identifier** is inserted in the patient role id extension attribute section of the C-CDA.

The **Sponsor CDR OID** is inserted in the patient role id root attribute section of the C-CDA.

These identifiers must be inserted **before** the organization's patient role information in the C-CDA.

The second id extension attribute must be the source (sending) organization's unique patient identifier (typically a medical record number MRN), and the id root attribute must be the source organization's OneHealthPort HIE assigned OID or the organization's OID reported to OneHealthPort for use with the C-CDA submissions.

## 5.2.3. Patient Demographic Matching

C-CDAs may also be submitted without the CDR or Sponsor identifiers. When a C-CDA is received without these identifiers, the CDR will launch a PDQ to find a patient match.

- If an exact match is found, the patient global identifier and CDR OID will be inserted into the C-CDA and processed by the CDR.
- If an exact match is not found, or if multiple matches are found, then the CDR will send back an
  error to the submitting organization and the organization will need to investigate the reason for
  no patient match.

### 5.3. Using the PDQ

Organizations that do not have known patient identifiers are encouraged to use the PDQ *before* submission of C-CDAs to the CDR. PDQs sent to the CDR from the EHR system are sent back exact patient match information for insertion into the C-CDA (and storage in the EHR if set up to do so). In the event there are multiple matches, the CDR will return a list of possible matches, with the patient global identifiers, to select from allowing the organization to identify the correct patient and use of the appropriate identifiers.

### 5.4. Patient Demographic Information Used for Patient Matching

Patient matching at the CDR will be made on specific demographic fields contained in the C-CDA. Organizations are encouraged to verify that patient demographic information collected and stored in the EHR at the time a

patient presents for care is accurate and matches the Sponsors eligibility information. The demographic fields in the C-CDA used for patient matching are listed below.

- First Name (required)
- Last Name (required)
- Date of Birth (required)
- Address information (optional)
- Social Security Number (optional)
- Sponsor's patient identifier (optional)
- CDR global patient identifier (optional)

### 6. C-CDA DATA SUBMISSION OPTIONS

### 6.1. Overview

OneHealthPort supports four different C-CDA data submission pathways to the CDR. The options below can be used to support the submission of validated C-CDA messages using existing functionality available in electronic health record (EHR) systems. Organizations can work with their vendors to use the option that best supports their system data submissions capabilities and operational processes.

### 6.2. Submission Timing

C-CDA submissions are required following a patient encounter. Organizations are encouraged to submit C-CDAs within a reasonable time period following the patient visit, when the C-CDA is deemed complete in the EHR and ready for submission.

### 6.3. ITI-41 XDS.b Using Certificate-Based Exchange

Organizations will be required to provide certificates to establish secure connectivity to the OneHealthPort HIE as well as a URL that will be available for the HIE to send message disposition notifications (MDNs) and error processing messages from the CDR back to the organization. Certificate requirements and endpoint URLs are provided in the Web Services IG. (see the Web Services IG for full details)

## 6.4. Direct Message

Organizations choosing this data submission option will be required to send the C-CDA in a validated xml format to the CDR's Direct Mail address. (See the Direct Message IG for full details)

# 6.5. C-CDA xml File Submission Using AS2 Connectivity

Organizations already connected to and exchanging data through the OneHealthPort HIE currently use an AS2 connectivity software tool provided by the HIE or support the AS2 connectivity to the HIE using their own tool suite. If C-CDA xml files can be exported from the EHR system, organizations can use existing connectivity and message delivery management tools to support delivery of the C-CDA files to the CDR.

### 6.6. ITI-41 XDS.b File Submission Using AS2 Connectivity

Organizations already connected to and exchanging data through the OneHealthPort HIE currently use an AS2 connectivity software tool provided by the HIE or support the AS2 connectivity to the HIE using their own tool suite. If the EHR system is set up to export a C-CDA using the ITI-41 XDS.b protocol *and* the organization prefers not to set up another connectivity using certificate-based exchange, the organization may use the existing AS2 connectivity, provided a fully compliant and complete ITI-41 XDS.b document can be exported from the EHR and transferred to the AS2 connectivity tool for submission.

### 7. CORRECTION OF C-CDA DATA SUBMISSIONS

### 7.1. Overview

Organizations may need to periodically update, append or replace previously submitted C-CDAs.

# 7.2. C-CDA Submission Correction and Updates

The HL7 C-CDA standard provides for correction and updates through relatedDocument functionality. Organizations sending appended or replacement C-CDA documents must include an additional section in the C-CDA message header. The location in the header for the relatedDocument information is after the documentationOf section, and is shown below:

relatedDocument	0*	MAY	1098-29893	
@typeCode	11	SHALL	1098-31889	2.16.840.1.113883.11.20.9.62
				(Related Document (append/replace))
parentDocument	11	SHALL	1098-29894	

Source: CDAR2\_IG\_CCDA\_CLINNOTES\_R2\_D1\_2014NOV\_V2\_Templates\_and\_Supporting\_Material

Organizations submitting replacement or appended C-CDAs must have already done a registry stored-query (ITI-18) to obtain the unique document identifier in the CDR. That identifier must be used as the parentDocument element. An example of the relatedDocument section is show below:

<relatedDocument typeCode="RPLC">

<parentDocument>

<id root="aefe4f6a-d6e1-46ef-8c40-790998f7bee6" />

<code code="34133-9" codeSystem="2.16.840.1.113883.6.1" codeSystemName="LOINC"

displayName="SUMMARIZATION OF EPISODE NOTE"/>

### 8. C-CDA CONFIDENTIALITY CODES

### 8.1. Overview

**Data confidentiality codes** assigned to clinical documents **drive all access control** to individual patient records in the Clinical Data Repository. It is the responsibility of the submitting organization to code the content in the C-CDA based upon the type of content in an encounter, or the patient request for data to be treated with sensitivity.

# 8.2. Data Confidentiality Codes

Currently, the entire C-CDA has only one **confidentiality code** that **should be based on the most confidential element in the document**. In the future, confidentiality coding will be accepted at the element level. Further information regarding the HL7 standard discussing confidentiality code assignment can be found by an internet search using the confidentiality code system object identifier 2.16.840.1.113883.5.25. The HL7 link is as follows: <a href="http://www.hl7.org/documentcenter/public temp">http://www.hl7.org/documentcenter/public temp</a> 2873DC00-1C23-BA17-

 $\underline{\texttt{OC63F6C676FED8DB/standards/vocabulary/vocabulary\_tables/infrastructure/vocabulary/vs\_Confidentiality.html}$ 

Electronic Health Record (EHR) systems must provide the means for the practitioner to identify the correct confidentiality code for information included in the C-CDA. "Normal" (N) may be the system default for data confidentiality. The EHR system must provide functionality to adjust the confidentiality code to match the information in the record.

The State of Washington Health Care Authority has developed a reference guide for Confidentiality code assignment based on ICD-10 and CPT-4 Codes associated with the record. This guide can assist vendors and practices with examples for how to classify data.

Currently the C-CDA accommodates the HL7 Basic Confidentiality Kind. In the future the standard may add a "sensitivity" classification providing more discreet definition of particular data/information in a record. A table of the confidentiality codes and their definition is shown below:

Code	Description	Definition	
N	Normal	Privacy metadata indicating that the information is typical, non-stigmatizing health information, which presents typical risk of harm if disclosed without authorization.  Includes what HIPAA identifies as the minimum necessary protected health information (PHI) given a covered purpose of use (treatment, payment, or operations). Includes typical, non-stigmatizing health information disclosed in an application for health,	
		workers compensation, disability, or life insurance.	
R	Restricted		
		Includes information that is additionally protected such as sensitive conditions mental health, HIV, substance abuse, domestic violence, child abuse, genetic disease, and reproductive health; or sensitive demographic information such as a patient's standing as an employee or a celebrity. May be used to indicate proprietary or classified information that is not related to an individual, secret ingredient in a therapeutic substance, or the name of a manufacturer.	
V	Very	Privacy metadata indicating that the information is extremely sensitive and likely	
	Restricted	stigmatizing health information that presents a very high risk if disclosed without authorization. This information must be kept in the highest confidence.	
		Includes information about a victim of abuse, patient requested information sensitivity, and taboo subjects relating to health status that must be discussed with the patient by an	
		attending provider before sharing with the patient. May also include information held under legal lock or attorney-client privilege	

Source: <a href="http://hl7.org/fhir/v3/Confidentiality/index.html">http://hl7.org/fhir/v3/Confidentiality/index.html</a>

### 9. C-CDA DOCUMENT RENDERING AND PARSING IN THE CDR

### 9.1. Overview

When a document successfully uploads in the CDR, the entire document will render. Currently, the components of the CDR that will parse with discrete data, if all appropriate coding is included in the submitted document, are as follows:

- 1. Documents a listing of all the documents in the system for a given patient
- 2. Medications
- 3. Vital Signs
- 4. Immunizations
- 5. Encounters (coming in a future release)
- 6. Problems
- 7. Allergies

- 8. Procedures
- 9. Lab Values

# Sample screen shots of a rendered document:

Summary of Care: 9/29/03 - 9/28/16

Created On: September 28, 2016

Patient: Able Baker MRN: 111222333TP Birthdate: September 15, 2015 Sex: Male

### Table of Contents

- Encounter
   Vital Signs
   Problem List
- Allergies, Adverse Reactions, Alerts
   Medications

- <u>Immunizations</u> <u>Procedures</u>
- Social History
   Functional Status
- Assessment and Plan

#### **Encounter**

Date(s): 9/29/03 Attending Physician: Attending Physician: Test, MD301, MDAdmitting Physician: Test, MD300, MDReferring Physician: MDAttending Physician:

Vital Signs

Most recent to oldest [Reference Range]:	
Respiratory Rate [15-25 br/min]	45 br/min *H*(7/21/13 8:05 AM)
SpO2 [85 %]	98 % (7/21/13 8:05 AM)
Systolic Blood Pressure [88-121 mmHg]	90 mmHg (7/21/13 8:05 AM)
Diastolic Blood Pressure [60-81 mmHg]	60 mmHg (7/21/13 8:05 AM)
Pulse Rate [60-130 bpm]	120 bpm (7/21/13 8:05 AM)
Head Circumference	36.00 cm (7/2/04 3:01 PM)
Height/Length Measured	130 cm (4/1/15 5-52 AM)
Temperature Axillary	88 DegC (7/21/13 6:08 AM)
Temperature Oral	77 DegC (11/4/15 3:00 PM)
Temperature Rectal	8.2 DegC (7/21/13 8:05 AM)
Temperature Skin	8 DegC (7/21/13 6:08 AM)
Weight Measured	12 kg (7/27/15 2:42 PM)

#### **Problem List**

Condition	Effective Dates	Status	Health Status	Informant
Kawasaki disease(Confirmed)		Active		
Acute febrile mucocutaneous lymph node syndrome(Confirmed)		Resolved		
Adolescent idiopathic scoliosis(Confirmed)		Active		
Asthma(Probable Diagnosis)		Active		
At risk for autonomic dysreflexia(Confirmed)		Active		
Autonomic dysreflexia(Confirmed)		Active		
Axis III -FAS(Confirmed)(Stable)		Active		
Hypertensive heart and CKD, ESRD on dialysis(Confirmed)		Active		
Benign hypertension with CKD (chronic kidney disease) stage V(Confirmed)		Resolved		
Chronic kidney disease (CKD), stage V(Confirmed)		Active		
Cochlear prosthesis in situ(Confirmed)		Active		
CF (cystic fibrosis)(Confirmed)		Active		
Cystic fibrosis, pancreatic(Confirmed)		Active		
Cystic fibrosis related bronchopneumonia(Confirmed)		Active		
Cystic fibrosis with gastrointestinal manifestations(Confirmed)		Active		
Cystic fibrosis with meconium ileus(Confirmed)		Active		

# **Document Name**

# OneHealthPort-HIE Implementation Guide – C-CDA Documents for CDR

Cystic fibrosis without meconium issues Confirmed)  ESRD on hemodallysis (Confirmed)  Dependence on peritoneal dialysis (Confirmed)  Double outlet right ventricle (Confirmed)  Double outlet right ventricle (Confirmed)  Dangousi)  End-stage renal disease (ESRD)  Confirmed)  ESRD (end stage renal disease) on dialysis (Confirmed)  Difficult intubation (Confirmed)  Active  ESRD (end stage renal disease) on dialysis (Confirmed)  Difficult intubation (Confirmed)  HO anticogulant disease) on dialysis (Confirmed)  HO anticogulant disease) on dialysis (Confirmed)  Active  Ho Confirmed)  Active  Ho Si disease(Probable Diagnosis)  Active  Diagnosis)  Active  Diagnosis)  Active  Active  Diagnosis)  Active  Active  Diagnosis)  Active  Active  Diagnosis  Active  Active  Diagnosis  Active  Active  Diagnosis  Active  Active  Active  Active  Normandia disease, stage of Estage and CKD stage of Confirmed)  Active  Active  Normandia disease, stage of Estage and CKD stage of Confirmed)  Active  Normandia disease, stage of Estage and CKD stage of Confirmed)  Active  Active  Normandia disease, stage of Estage and CKD stage of Confirmed)  Active  Active  Normandia disease, stage of Estage and CKD stage of Confirmed)  Active  Active  Confirmed)  Active  Active  Difficult arivay (Confirmed)  Difficult arivay (Confirmed)  Active  Difficult arivay (Confirmed)  Difficult arivay (Confirmed)  Difficult arivay (Confirmed)  Active			
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Active   Active		Active	
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Fanconi syndrome(Confirmed)  H/O: anticoagulant therapy(Confirmed)  H/O: Distroct(Probable Diagnosis)  Ho: Distroct(Probable Diagnosis)  Hyperaldosteronism(Probable Diagnosis)  Hyperaldosteronism(Probable Diagnosis)  Benign hypertensive heart and kadney disease, stage V(Confirmed)  Hypertensive kidney disease, stage S or ESRD(Confirmed)  Hypertensive CKD, ESRD on diadysis(Confirmed)  Hypertensive CKD, esrd on Active Diagnosis Active diagnate the log on diadysis(Confirmed)  Malignant hypertensive CKD w ESRD on diadysis(Confirmed)  Malignant hypertensive CKD w Active Difficult airway for intubation(Confirmed)  Difficult airway for intubation(Confirmed)  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Active Difficult airway (Confirmed)  Difficult airway (Confirmed)  Active Difficult airway (Confirmed)  Active Secondary diabetes		Active	
H.O: anticoagulant therapy(Confirmed)  Active  Ho: Disorder(Probable Diagnosis)  Hb: SS disease(Probable Diagnosis)  Hyperaldosteronism(Probable Diagnosis)  Benign hypertensive heart and kidney disease and CKD stage V(Confirmed)  Hypertensive kidney disease, stage 5 or ESRD/Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good.  (Confirmed)  Active  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Active  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Pain in elbow(Confirmed)  Active  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Secondary diabetes  Active  Secondary diabetes	Difficult intubation(Confirmed)	Active	
therapy(Confirmed)  HO: Disorder(Probable Diagnosis)  Active  HD SS disease(Probable Diagnosis)  Hyperaldosteronism(Probable Diagnosis)  Benign hypertensive heart and kidney disease and CKD stage V(Confirmed)  Hypertensive kidney disease, stage S or ESRD(Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good.  (Confirmed)  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Active  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Pain in elbow(Confirmed)  Active  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Active  Secondary diabetes	Fanconi syndrome(Confirmed)	Active	
Diagnosis)  Hb SS disease(Probable Diagnosis)  Active  Hyperaldosteronism(Probable Diagnosis)  Benign hypertensive heart and kidney disease and CKD stage V(Confirmed)  Hypertensive kidney disease, stage S or ESRD(Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good.  (Confirmed)  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Active  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Pain in elbow(Confirmed)  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Secondary diabetes		Active	
Diagnosis)  Hyperaldosteronism(Probable Diagnosis)  Active  Active  Benign hypertensive heart and kidney disease and CKD stage V(Confirmed)  Hypertensive kidney disease, stage 5 or ESRD(Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good.  (Confirmed)  Active  Active  Active  Active  Active  Difficult airway for insubation(Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Active  Secondary diabetes		Active	
Diagnosis)  Benign hypertensive heart and kidney disease and CKD stage V(Confirmed)  Hypertensive kidney disease, stage 5 or ESRD(Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good. (Confirmed)  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Active  Active  Active  Pain in elbow(Confirmed)  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Difficult airway (Confirmed)  Secondary diabetes  Active  Secondary diabetes		Active	
kidney disease and CKD stage V(Confirmed)  Hypertensive kidney disease, stage 5 or ESRD(Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good. (Confirmed)  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Pain in elbow(Confirmed)  Active  Difficult airway for intubation(Confirmed)  Difficult airway(Confirmed)  Active  Secondary diabetes		Active	
Sor ESRD(Confirmed)  Hypertensive CKD, ESRD on dialysis(Confirmed)  Jonny doesn't feel good. (Confirmed)  Malignant hypertensive CKD w ESRD on dialysis(Confirmed)  Pain in elbow(Confirmed)  Active  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Active  Secondary diabetes  Active	kidney disease and CKD stage	Active	
dialysis(Confirmed)  Jonny doesn't feel good. (Confirmed)  Active  Active  Active  ESRD on dialysis(Confirmed)  Pain in elbow(Confirmed)  Difficult airway for intubation(Confirmed)  Difficult airway (Confirmed)  Active  Secondary diabetes  Active		Active	
Confirmed   Active		Active	
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Difficult airway for intubation(Confirmed)  Difficult airway(Confirmed)  Active  Secondary diabetes  Active		Active	
intubation(Confirmed)  Difficult airway(Confirmed)  Secondary diabetes  Active	Pain in elbow(Confirmed)	Active	
Secondary diabetes Astino		 Active	
	Difficult airway(Confirmed)	Active	
mellitus(Confirmed)	Secondary diabetes mellitus(Confirmed)	Active	
Spine at Risk(Confirmed) <sup>1</sup> Active	Spine at Risk(Confirmed) <sup>1</sup>	Active	

<sup>&</sup>lt;sup>1</sup>Problem added due to documentation of Spine at Risk precautions by Butler, Matthew C

#### Allergies, Adverse Reactions, Alerts

Substance	Reaction	Severity	Status
Allergy to peanuts		Severe	Active
amoxicillin		Mild	Active
aspirin		Mild	Active
contrast media (iodine-based)		Mild	Active
corticosteroids <sup>1</sup>	At high risk of tumor lysis syndrome	Severe	Active
oxyCODONE <sup>2</sup>	Apnea, drug induced	Severe	Active
penicillin	Rash	Severe	Active
penicillins		Mild	Active
shellfish	Rash	Severe	Active
Soy		Severe	Active

<sup>&</sup>lt;sup>1</sup>Corticosteroids are contraindicated due to risk of interference with cancer treatment plan and risk of tumor lysis syndrome

### Medications

 $\textbf{acetaminophen 160 mg/5 ml oral liquid} 20 - 25 \text{ kg} -- 192 \text{ mg} = 6 \text{ mL PO Q 4 hrs PRN pain, Dispense: } 180 \text{ mL, Start date/time: } 10/23/15 \text{ } 14:09:38, \text{ Substitution Permitted, Do Not RouteStart Date: } 10/23/15 \text{ } 10/23/15 \text{ } 14:09:38, \text{ Substitution Permitted, Do Not RouteStart Date: } 10/23/15 \text{ } 10/23/15 \text{$ 

 $\textbf{aspirin} Start\ date/time:\ 02/24/16\ 7:50:00,\ Substitution\ Permitted Start\ Date:\ 2/24/16Stop\ Date:\ 2/23/17Status:\ Ordered$ 

aspirin40.5 mg PO once a day, routine, Start date/time 02/24/16 9:00:00Start Date: 2/24/16Stop Date: 6/3/16Status: Ordered

aspirinStart date/time: 06/15/15 8:45:00, Substitution PermittedStart Date: 6/15/15Stop Date: 6/14/16Status: Ordered

 $\textbf{cephalexin 250 mg oral capsule} \\ \textbf{Start date/time: } 07/31/15 9:02:00, \\ \textbf{Substitution PermittedStart Date: } 7/31/15 \\ \textbf{Stop Date: } 7/30/16 \\ \textbf{Status: Ordered PermittedStart Date: } 7/31/15 \\ \textbf{Stop Date: } 7/30/16 \\ \textbf{Status: Ordered PermittedStart Date: } 7/31/15 \\ \textbf{Stop Date: } 7/30/16 \\ \textbf{Status: Ordered PermittedStart Date: } 7/31/15 \\ \textbf{Stop Date: } 7$ 

D5 1/2NS + potassium chloride 10 mEq/L 1000 mLIV to run at 100 mL/hr, routine, Start date/time 02/24/16 7:44:00Start Date: 2/24/16Stop Date: 6/3/16Status: Ordered

ibuprofen 400 mg oral tablet> 40 kg --- 400 mg = 1 tablet(s) PO Q 6 hrs PRN pain, Take with food, Dispense: 30 tablet(s), Start date/time: 03/17/13 8:36:00, Substitution PermittedStart Date: 3/17/13Stop Date: 4/15/14Status: Ordered

multivitaminStart date/time: 02/03/12 14:54:00, Substitution PermittedStart Date: 2/3/12Status: Ordered

 $<sup>^2</sup>$ history of phrenic palsy; opioids induce hypoventilation/apnea

### Results

#### Chemistry

Most recent to oldest [Reference Range]:	I	2	3
Glucose Level, Point of Care [60- 105 mg/dL]	164 mg/dL <sup>1</sup> *H*(10/15/13 2:53 PM)	57 mg/dL <sup>2</sup> *L*(10/15/13 11:55 AM)	198 mg/dL <sup>3</sup> *H*(10/15/13 10:23 AM)

<sup>&</sup>lt;sup>1</sup>Result Comment: Confirmation To Lab

#### Coagulation

Most recent to oldest [Reference Range]:	1	2	3
Activated Clotting Time [101-167 second(s)]	131 second(s) (10/15/13 3:38 PM)	102 second(s) (10/15/13 2:50 PM)	321 second(s) *H*(10/15/13 2:43 PM)
Circuit Activating Clotting Time	>500 second(s) *NA*(10/15/13 2:18 PM)	126 second(s) *NA*(10/15/13 2:15 PM)	<50 second(s) 1*NA*(10/15/13 1:53 PM)

<sup>&</sup>lt;sup>1</sup>Result Comment: PATIENT SAMPLE

#### **Immunizations**

#### Given and Recorded

Vaccine	Date	Status	Refusal Reason
holera vaccine <sup>1</sup>	12/11/13	Given	
nfluenza virus vaccine, live <sup>2</sup>	10/17/13	Given	
nfluenza virus vaccine, live <sup>3</sup>	9/14/12	Given	
nfluenza virus vaccine, inactivated <sup>4</sup>	10/17/13	Given	
nfluenza virus vaccine, inactivated	12/19/08	Given	
nfluenza virus vaccine, inactivated <sup>5</sup>	12/19/08	Given	
nfluenza virus vaccine, inactivated	12/10/08	Given	
nfluenza virus vaccine, inactivated	11/13/08	Given	
nfluenza virus vaccine, inactivated	11/13/08	Given	
nfluenza virus vaccine, inactivated <sup>6</sup>	10/16/07	Given	
nfluenza virus vaccine, inactivated <sup>7</sup>	10/17/06	Given	
nfluenza virus vaccine, inactivated <sup>8</sup>	10/17/06	Given	
epatitis B pediatric vaccine <sup>9</sup>	2/22/11	Given	
epatitis B adult vaccine <sup>10</sup>	2/22/11	Given	
epatitis B adult vaccine <sup>11</sup>	2/22/11	Given	
epatitis B vaccine	9/24/08	Given	
aemophilus b conjugate (PRP-T) vaccine	8/13/08	Given	
aemophilus b conjugate (PRP-T) vaccine	8/11/08	Given	
neningococcal conjugate vaccine	7/20/06	Given	
neningococcal conjugate vaccine	7/20/06	Given	
neningococcal conjugate vaccine <sup>12</sup>	7/20/06	Given	
neningococcal conjugate vaccine <sup>13</sup>	7/20/06	Given	
neumococcal 7-valent vaccine	6/9/06	Given	
neumococcal 7-valent vaccine	6/9/06	Given	
iphth/haemoph/pertussis,acel/tetanus	6/23/04	Given	
neasles mumps rubella (MMR) vaccine	6/4/04	Given	

<sup>&</sup>lt;sup>1</sup>Admin Note: given at outside

 $<sup>^2</sup> Result Comment: 2 hour postprandial~Notified MD$ 

<sup>&</sup>lt;sup>3</sup>Result Comment: Notified MD

<sup>&</sup>lt;sup>2</sup>Admin Note: also given at outside facility

<sup>&</sup>lt;sup>3</sup>Result Comment: data entry error

<sup>&</sup>lt;sup>4</sup>Admin Note: just a note given elsewhere

<sup>&</sup>lt;sup>5</sup>Result Comment: test

<sup>&</sup>lt;sup>6</sup>Result Comment: Gave VIS

<sup>&</sup>lt;sup>7</sup>Admin Note: given on 10/17

<sup>&</sup>lt;sup>8</sup>Admin Note: administered

<sup>&</sup>lt;sup>9</sup>Result Comment: test

<sup>&</sup>lt;sup>10</sup>Result Comment: test

<sup>&</sup>lt;sup>11</sup>Result Comment: test

<sup>&</sup>lt;sup>12</sup>Admin Note: 7/20/06 vis given

<sup>13</sup> Admin Note: TEST 7/20/06

**Document Name** 

# OneHealthPort-HIE Implementation Guide – C-CDA Documents for CDR

#### **Procedures**

Procedure

Date

9/6/06

9/6/06

Related Diagnosis

**Body Site** 

Esophagogastric fundoplasty (eg, Nissen, Belsey IV, Hill procedures)  $^{1}$ 

PICC line placement at bedside<sup>2</sup>
Anesthesia for procedures on male genitalia (including open urethral procedures); undescended testis, unilateral or bilateral.

<sup>1</sup>Patient tolerated procedure well.

<sup>2</sup>Patient tolerated procedure well. Chest x-ray confirmed placement. MD states OK to use.

#### **Social History**

No data available for this section

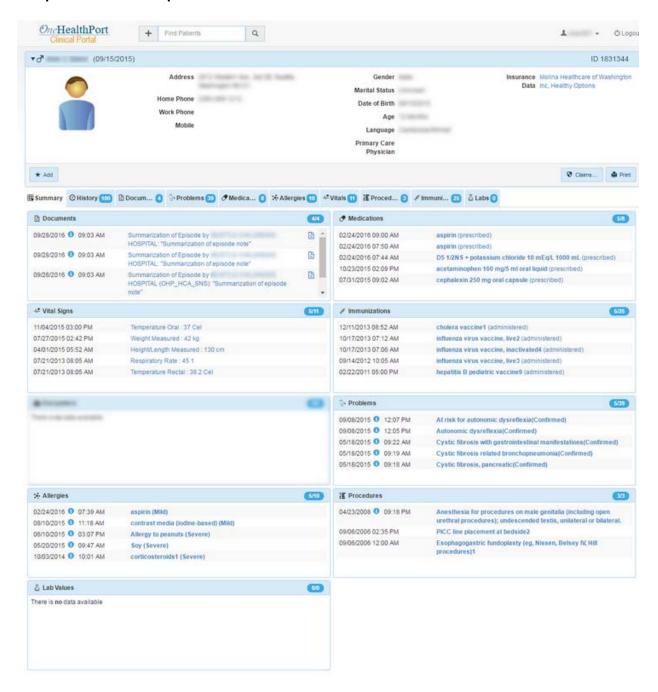
#### **Functional Status**

No data available for this section

#### Assessment and Plan

Future Appointments

# Sample screen shot of parsed data:



### 10. IHE DATA SUBMISSION PROTOCOLS SUPPORTED BY THE ONEHEALTHPORT HIE

### 10.1. Overview

The OneHealthPort HIE supports several IHE protocols that can be leveraged and used for supporting information exchange activity with the CDR.

# 10.2. Supported Submission Protocols

- ITI-41 Provide and Register Document Set
- ITI-47 PDQv3 Patient Demographic Query Query (PRPA\_IN201305UV02) and Response (PRPA\_IN201306UV02) for patient identifiers from the CDR
- ITI-18 Registry Stored Query for documents stored in the CDR
- ITI-55 Cross Gateway Query for patient identifiers] (coming soon)
- ITI-38 Cross Gateway Query for patient specific document identifiers stored in the CDR] (coming soon)
- ITI-39 Cross Gateway Query for specific CDR documents (coming soon)